FP Canada Financial Planning Conference Continuing Education (CE) Overview

CONTINUING EDUCATION (CE) VERIFICATION PROCESS AT FPW 2023

CE credits may be obtained by participating in conference sessions live or on-demand up until December 31, 2023.

1. **Participating in Live Sessions:** There will be a pop-up at some point during each Financial Planning Conference session asking you to confirm that you're watching live. To ensure you receive your CE credits for the session, please click the button in the pop-up to confirm that you're still watching. If you miss the pop-up and you're seeking credits from FP Canada, MFDA, or IIROC, you can still earn the credits for the session by watching it on demand by December 31, 2023.
2. **Completing Conference Sessions On Demand:** If you're unable to attend live sessions, you can watch them on demand through the conference platform (not applicable for Pl. Fins). To obtain CE credits for each session, you must watch sessions in their entirety and answer a question that appears at the end of each session. The conference platform is able to verify viewing time, as well as whether you've answered the question to confirm completion.

FP CANADA, MFDA AND IIROC

Watch conference sessions live <u>or</u> on-demand to earn CE credits from the following organizations:

- Earn up to 13 CE credits that satisfy FP Canada CE requirements.
- Earn up to 13 CE credits that satisfy MFDA and IIROC CE requirements. To receive CE credits, you must view all conference sessions by December 31, 2023.

Eligible CE Credits

CONFERENCE SESSION	FP CANADA	MFDA	IIROC
Preparing for The Future of Work Through Indigenous Values	1 FP	1 PD	1 PD
Beyond Biases: Exploring the Human Experience of Money	0.75 FP	0.75 PD	0.75 PD
Unbiased Investing: Practice Management to Limit the Effects of Biases and Heuristics	0.75 FP	0.75 PD	0.75 PD
Is It a Conflict? Identifying and Managing Potential Conflicts of Interest	1.25 PR	1.25 BC-Ethics	1.25 CM
Stop Letting That Difficult Person Ruin Your Day: Handling Challenging Workplace Relationships	1 FP	1 PD	1 PD
Personal Conduct and Professional Integrity: Is a Planner Ever Off Duty?	1.25 PR	1.25 BC-Ethics	1.25 CM
A 5-Step Process for Communication that Builds Trust and Shapes Behaviour	1 FP	1 PD	1 PD
Client Experience: The Key to Making Money, Meeting Clients, and Setting Yourself Apart	1 FP	1 PD	1 PD
Understanding Socially Responsible Investing	0.75 FP	0.75 PD	0.75 PD
Cross-Border Tax Planning: What to Consider When Working with Clients	0.75 FP	0.75 PD	0.75 PD
Digging Deeper: The Importance of Client Discovery	1 PR	1 BC-Ethics	1 CM
Intergenerational Wealth: A Financial Planning Perspective	0.75 FP	0.75 PD	0.75 PD
A Deep Dive into Financial Planning for Business Owners	0.75 FP	0.75 PD	0.75 PD
The Bold Ones: How to Evolve with the Times and Advance Your Career	1 FP	1 PD	1 PD
TOTAL OPPORTUNITY FOR CE CREDITS	**9.5 FP** **3.5 PR**	**9.5 PD** **3.5 BC - Ethics**	**9.5 PD** **3.5 CM**

FP – Financial Planning
PR – Professional Responsibility
PD – Professional Development
BC-Ethics – Business Conduct Ethics
CM – Compliance

IQPF

Each of the live conference sessions has been accredited by IQPF for Professional Development Units (PDU). Pl Fins who participate can earn up to 10 PDU credits for live sessions only.

On-demand sessions are not eligible for PDU.

Eligible PDU

CONFERENCE SESSIONS	IQPF PDU
Preparing for The Future of Work Through Indigenous Values	1 SFPA
Beyond Biases: Exploring the Human Experience of Money **OR** Unbiased Investing: Practice Management to Limit the Effects of Biases and Heuristics	1 SFPA
Is It a Conflict? Identifying and Managing Potential Conflicts of Interest	1 SC-FP
Stop Letting That Difficult Person Ruin Your Day: Handling Challenging Workplace Relationships	1 SFPA
Personal Conduct and Professional Integrity: Is a Planner Ever Off Duty?	1 SC-FP
A 5-Step Process for Communication that Builds Trust and Shapes Behaviour **OR** Client Experience: The Key to Making Money, Meeting Clients, and Setting Yourself Apart	1 SFPA
Understanding Socially Responsible Investing **OR** Cross-Border Tax Planning: What to Consider When Working with Clients	1 SFPA
Digging Deeper: The Importance of Client Discovery	1 SC-FP
Intergenerational Wealth: A Financial Planning Perspective **OR** A Deep Dive into Financial Planning for Business Owners	1 SFPA
The Bold Ones: How to Evolve with the Times and Advance Your Career	1 SFPA
TOTAL OPPORTUNITY FOR PDU	**7 SFPA 3 SC-FP**

CE CERTIFICATES

CE certificates will be available for download, starting the week of December 4th, through your online FP Canada account. If you do not currently have an account with FP Canada, your CE certificate will be emailed to you.

To view/download your CE certificates for the conference sessions you have viewed, you will need to complete the following steps:

1. **Login** to your online FP Canada Account.
2. Under **My Account**, select "Download CE Certificate".
3. Select the eligible conference sessions listed and click on "Download" button to view and print your CE Certificate.

For any questions, please contact **info@fpcanada.ca**.